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FORM 9-3

PATENT Practitioner's Docket No. IN THE UNITED STATES PATENT AND TRADEMARK OFFICE In re application of: Klaus Dziwok, et al. 1712 10/ 500,059 Group No.: Filed: June 24, 2004/ Examiner:
For: COPOLYMERS CONTAINING AMINOPLAST UNITS AND USE THEREOF AS A DISPERSING AGENT OR STABILIZERS. Application No.: Confirmation No. 9164 > **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 STATUS INQUIRY WARNING: Submission of a status letter after a Notice of Allowance may subject an application to a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of may 29, 2001, 1247 OG 111-112, June 26, 2001. 1. More than 14 months have passed since NEW APPLICATIONS the filing of this application on <u>June 24, 2004</u> No communication has been received from the Patent and Trademark Office indicating action on this application. ☐ AMENDED APPLICATIONS the filing of a response on _ No further communication has been received from the Patent and Trademark Office. ☐ APPEALED APPLICATION The Appeal Brief was filed on CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.) I hereby certify that, on the date shown below, this correspondence is being: MAILING deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 37 C.F.R. § 1.10 * 37 C.F.R. § 1.8(a) as "Express Mail Post Office to Addressee" with sufficient postage as first class mail. Mailing Label No. -TRANSMISSION ☐ facsimile transmitted to the Patent and Trademark Office (1703) Date: (lig 25, 2005 Dorothy Goodlett .. (type or print name of person certifying)

*Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Status Inquiry [9-3]—page 1 of 3)

	(check and comple	te applicable items below)
		nswer was mailed on
	☐ A Reply to the E	xaminer's Answer was submitted on
	LLOWED APPLICATIONS	
□ A th	ne mailing of FORM POL-32	7 and/or Examiner's Amendment on
2. Kindly a	dvise the undersigned of the	e present status of this application, by checking eturn-addressed envelope is provided.
the appropri	E.P. § 203.08 Status Inquiries, 8th	Edition, cautions as to the submission of status inquiries as
"NFL	W APPLICATION	•
"C of in a the or ex thr	Current examining procedures now procedures now procedures of allo addition to a formal Notice of Alloware need for status inquiries even as a her new application may have been ception, a status inquiry would be appliced months from receipt of form PT	ovide for the routine mailing from the Technology Centers (TCs) wance of an application. Thus, the mailing of a form PTOL-37 noe (PTOL-85) in all allowed applications would seem to obviate a precautionary measure where the applicant may believe his in passed to issue on the first examination. However, as an oppropriate where a Notice of Allowance is not received within OL-37.
do	ckets of each art unit and TC with re the "oldest new applications" appea	n to minimize the spread in dates among the various examiner espect to actions on new applications. Accordingly, the dates aring in the Official Gazette are fairly reliable guides as to the aminers reach the applications or action.
"Tì	herefore, it should be rarely necessa	ary to query the status of a new application.
"AME	ENDED APPLICATIONS	
two in c A p will filin the	o months of the date the examiner order after reply by the attorney until postcard receipt for replies to Office a I be considered prima facie proof of ing of a reply, the submission of a cop in need for a petition to revive. Proof	be taken up by the examiner and an action completed within receives the application. Accordingly, a status inquiry is not 5 or 6 months have elapsed with no response from the Office. Inctions, adequately and specifically identifying the papers filed, receipt of such papers. Where such proof indicates the timely by of the postcard with a copy of the reply will ordinarily obviate of receipt of a timely reply to a final action will obviate the perply was in compliance with 37 CFR 1.113."
	•	SIGNATURE OF PRACTITIONER
Reg. No.:	31,945	Scott R. Cox
-		(type or print name of practitioner)
Tel. No.: (50)2) 589-4215	500 W. Jefferson St., Suite 2100
	,	Louisville KY 40202

(Status Inquiry [9-3]-page 2 of 3)

Customer No.:

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STATUS INQUIRY REPLY

APPLICAT	TION SERIAL NO. / IS CURRENTLY
□ A	SSIGNED TO GROUP AND AWAITS:
	ACTION BY THE EXAMINER.
	APPLICANT'S RESPONSE TO THE OFFICE ACTION MAILED
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APPEAL N	0
	AWAITING ACTION BY THE BOARD OF PATENT APPEALS AND INTERFER-
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	DATE OF HEARING EXPECTED
	DECISION EXPECTED